

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Luigi BRUSO
Pier Enrico BRUSO

Title: PREFABRICATED BIOLOGICAL PURIFICATION SYSTEM

Serial No.: 09/980,154

Based Upon: PCT/CR99/00001

Express Mail No.: EL859244985US

Date of Deposit: 25 February 2002

CERTIFICATE OF MAILING BY EXPRESS MAIL**Box PCT**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that the following requisites of the subject patent application are being deposited with the United States Postal Service as Express Mail Post Office to Addressee No. EL859244985US, on 25 February 2002, and is addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231, together with the items listed below.

- Transmittal Letter (Form PTO-1390), in duplicate
- Executed Spanish Language Declaration, attached to a Spanish language copy of the International Application

First Information Disclosure Statement

- Recordation Form Cover Sheet, together with executed Assignment Document
- Request For Refund (37 CFR 1.28(a)) For International Application Entering National Stage In U.S. Elected Office (EO/US) Under 35 USC 371
- Executed Claim for Small Entity Status document
- A check in the amount of \$105.00 to cover the fees set forth in the Transmittal Letter
- Copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)
- Return Receipt Postcard

Respectfully submitted,



Kevin D. Erickson
Regis. No. 38,736

Pauley Petersen Kinne & Erickson
2800 West Higgins Road; Suite 365
Hoffman Estates, Illinois 60195
TEL (847) 490-1400
FAX (847) 490-1403

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Luigi BRUSO
Pier Enrico BRUSO

Serial No.: 09/980,154

Title: PREFABRICATED BIOLOGICAL PURIFICATION SYSTEM

International

Application No.: PCT/CR99/00001

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Assistant Commissioner for Patents
Washington, D.C. 20231

ATTENTION: (EO/US)

**REQUEST FOR REFUND (37 CFR 1.28(a)) FOR
INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE
IN U.S. ELECTED OFFICE (EO/US) UNDER 35 USC 371**

I. SUBMISSION OF VERIFIED STATEMENT

Attached is a verified statement claiming small entity status in this application.

II. REFUND REQUEST

This request for refund is made within three months of the date a fee was paid in this application on 28 November 2001 in the amount of \$890.00.

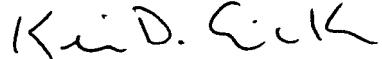
III. FEES PAID FOR WHICH REFUND REQUESTED

| | |
|---|------------------|
| (a) <input checked="" type="checkbox"/> Filing Fee under 37 CFR 1.492 | \$ <u>445.00</u> |
| (b) <input type="checkbox"/> Fees for claims under 37 CFR 1.492(b), (c) or (d) | \$ _____ |
| (c) <input type="checkbox"/> Surcharge for filing the Oath or Declaration on a date later than months from the earliest priority date (37 CFR 1.492(e)) | \$ _____ |
| TOTAL REFUND REQUESTED: \$ <u>445.00</u> | |

IV. MANNER OF REFUND

Please make refund by crediting Deposit Account No. 19-3550.

Respectfully submitted,



Kevin D. Erickson
Regis. No. 38,736

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2800 West Higgins Road; Suite 365
Hoffman Estates, Illinois 60195
TEL (847) 490-1400
FAX (847) 490-1403

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

SCP-110

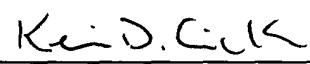
U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/980,154

| | | |
|---|---|--------------------------------------|
| INTERNATIONAL APPLICATION NO. PCT/CR99/00001 | INTERNATIONAL FILING DATE 19 November 1999 | PRIORITY DATE CLAIMED 28 May 1999 |
| TITLE OF INVENTION PREFABRICATED BIOLOGICAL PURIFICATION SYSTEM | | |
| APPLICANT(S) FOR DO/EO/US Luigi BRUSO et al. | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND OR SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). (verified)</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (4 pages, executed, attached to a copy of the International Application)</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> | | |
| Items 11. to 16. below concern other document(s) or information included: | | |
| <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: <ul style="list-style-type: none"> - Copy of Notification of Missing Requirements Under 35 U.S.C. 371 - Certificate of Mailing by Express Mail (2 pages) - Return Receipt Postcard - Executed Claim for Small Entity Status document - Request for Refund (37 CFR 1.28(a)) For International Application Entering National Stage in U.S. Elected Office (EO/US) Under 35 USC 371, in duplicate </p> | | |

EXPRESS MAIL NO.: EL859244985US

MAILED: 25 February 2002

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|--|---|---|-----------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/980,154 | INTERNATIONAL APPLICATION NO. PCT/CR99/00001 | ATTORNEY'S DOCKET NUMBER SCP-110 | |
| 17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$ 890.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 710.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$ 740.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 1,040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00 | | CALCULATIONS PTO USE ONLY | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | \$ | 130.00 |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
| Total claims | - 20 = | | X \$18.00 |
| Independent claims | - 03 = | | X \$84.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | + \$280.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | \$ | 130.00 |
| Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). | | \$ | 65.00 |
| SUBTOTAL = | | \$ | 65.00 |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | + | |
| TOTAL NATIONAL FEE = | | \$ | 65.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | + | \$ 40.00 |
| TOTAL FEES ENCLOSED = | | \$ | 105.00 |
| | | Amount to be: refunded | \$ |
| | | charged | \$ |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$ 105.00</u> to cover the above fee is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-3550</u>. A duplicate copy of this sheet is enclosed.</p> | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>03/01/2002 NGUYEN 00000082 09980154 01 FC:254 65.00 0P</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Pauley Petersen Kinne & Erickson 2800 West Higgins Road, Suite 365 Hoffman Estates, Illinois 60195 (847) 490-1400 Fax: (847) 490-1403</p> | | | |
|  SIGNATURE Kevin D. Erickson | | | |
| <hr/> NAME 38,736 | | | |
| <hr/> REGISTRATION NUMBER | | | |